Docket No.

264532US0PCT



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE APPLICATION OF:

Naohiko UCHIUMI, et al.

SERIAL NO: New U.S. PCT Application Based on PCT/JP03/08897

GAU:

FILED:

Herewith

EXAMINER:

FOR:

PROCESS FOR PRODUCING PELLET OF ETHYLENE/VINYL ALCOHOL COPOLYMER

INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR 1.97

COMMISSIONER FOR PATENTS ALEXANDRIA, VIRGINIA 22313

SIR:

Applicant(s) wish to disclose the following information.

REFERENCES

- The applicant(s) wish to make of record the references listed on the attached form PTO-1449. Copies of the listed references are attached, where required, as are either statements of relevancy or any readily available English translations of pertinent portions of any non-English language references.
- A check or credit card payment form is attached in the amount required under 37 CFR §1.17(p).

RELATED CASES

- Attached is a list of applicant's pending application(s), published application(s) or issued patent(s) which may be refated to the present application. In accordance with the waiver of 37 CFR 1.98 dated September 21, 2004, copies of the cited pending applications are not provided. Cited published and/or issued patents, if any, are listed on the attached PTO form 1449.
- ☐ A check or credit card payment form is attached in the amount required under 37 CFR §1.17(p).

CERTIFICATION

- ☐ Each item of information contained in this information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement.
- ☐ No item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the undersigned, having made reasonable inquiry, was known to any individual designated in 37 CFR §1.56(c) more than three months prior to the filing of this statement.

DEPOSIT ACCOUNT

Please charge any additional fees for the papers being filed herewith and for which no check or credit card payment is enclosed herewith, or credit any overpayment to deposit account number 15-0030. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

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Surinder Sachar

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Customer Number

Tel. (703) 413-3000 Fax. (703) 413-2220 (OSMMN 05/03)

Additional References sheet(s) attached

Date Considered

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| LIST OF REFERENCES CITED BY APPLICANT | | | APPLICANT Naohiko UCHIUMI, et al. | | | | | | | |
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| | | | | U.S. PATENT DOCUMENTS | | | | | | |
| EXAMINER INITIAL | | DOCUMENT NUMBER | DATE | NAME | CLASS | SUB CLASS | IF | | IG DAT | |
| | AA | 6 838 029 | 01/04/05 | KAWAHARA, Takaharu et al. | | | | | | |
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| | AO | 1 179 546 | 02/13/02 | EP | | | | | NO | |
| | AP | 1 072 616 | 01/31/01 | EP | | | | | NO | , |
| | AQ | 2001-011191 | 01/16/01 | JP (with English abstract) | | | | | NO | |
| | AR | 1 085 028 | 03/21/01 | EP | | | | | NO | , |
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| | | OTHER R | EFERENCES (| Including Author, Title, Date, Pertinen | t Pages, e | tc.) | | | | |
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*Examiner: Initial if reference is considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

ΑZ

Examiner

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New U.S. PCT Application Based on PCT/JP03/08897 Docket No.: <u>264532US0PCT</u>

STATEMENT OF RELEVANCY

| 1) | References _ | AO-AQ | have been cited in the | e International | Search Report. |
|-----|-----------------|--------------------------|--|-----------------|------------------|
| Cc | pies of these r | eferences are l | peing submitted herewi | th only when n | ot automatically |
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| | | | e been cited in the corre eing submitted herewith | | _ Search Report. |
| • | | AR, AS ng submitted h | _ are discussed in the spere with. | pecification. A | copy of these |
| - | | are | additional prior art kno | wn to Applica | nt. A copy of |

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LIST OF RELATED CASES

| Docket Number | Serial or Patent Number | Filing or Issue Date | Patent Appl. Publication No. | Inventor/ Applicant |
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| 217954US0 | 6,838,029 | 1/4/05 | | KAWAHARA, et al. |
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